

MINUTES

Risk Management and Audit Committee **Wednesday 20 October 2021**

The Committee Meeting of the City of Palmerston held in the Council Chambers, Civic Plaza, 1 Chung Wah Terrace, Palmerston, NT 0830.

COMMITTEE MINUTES

Minutes of Committee Meeting
held in Council Chambers
Civic Plaza, 1 Chung Wah Terrace, Palmerston
on Wednesday 20 October 2021 at 5:00pm.

PRESENT

COMMITTEE MEMBERS

Clare Milikins, Independent Chair
Steve Bartlett, Independent Member
Mayor Athina Pascoe-Bell
Councillor Sarah Henderson
Councillor Amber Garden

STAFF

Chief Executive Officer, Luccio Cercarelli
Director City Growth and Operations, Nadine Nilon
Director Organisational Services, Silke Maynard
Governance and Strategy Manager, Manu Pillai
Finance Manager, Gayu Sivaraj
Minute Secretary, Chloe Hayes

GALLERY

Abraham Apit, Manager, Merit Partners
MunLi Chee, Partner, Merit Partners

Initials:



1 ACKNOWLEDGEMENT OF COUNTRY

I respectfully acknowledge the traditional owners of the land on which we are meeting – the Larrakia People – and pay my respects to their elders, past, present and future.

2 OPENING OF MEETING

The Chair declared the meeting open at 5:07pm.

3 APOLOGIES AND LEAVE OF ABSENCE

3.1 Apologies

Nil

3.2 Leave of Absence Previously Granted

Nil

3.3 Leave of Absence Request

Nil

4 REQUEST FOR AUDIO/AUDIOVISUAL CONFERENCING

Nil

5 DECLARATION OF INTEREST

5.1 Committee Members

Nil

5.2 Staff

Nil

6 CONFIRMATION OF MINUTES

6.1 Confirmation of Minutes

Moved: Councillor Garden
Seconded: Mayor Pascoe-Bell

THAT the Minutes of the Committee Meeting held on Tuesday 10 August 2021 pages 169 to 175 be confirmed.

CARRIED RMA10/1 – 20/10/2021


6.2 Business Arising from Previous Meeting

Nil

7 DEPUTATIONS AND PRESENTATIONS

Nil

Initials:



8 CONFIDENTIAL ITEMS

8.1 Moving Confidential Items into Open

16.1.1 Draft Annual Financial Statements 2020/21

Moved: Steve Bartlett
Seconded: Mayor Pascoe-Bell

1. THAT Report entitled Draft Annual Financial Statements 2020/21 be received and noted.
2. THAT the Risk Management and Audit Committee receive and note the external auditor's Audit Closing Report for the 2020/21 financial year as presented as **Attachment 16.1.1.3** to Report entitled Draft Annual Financial Statements 2020/21.

CARRIED RMA10/11- 20/10/2021

Moved: Steve Bartlett
Seconded: Councillor Garden

3. THAT the Risk Management and Audit Committee recommend to the Council:
 - a) THAT the Risk Management and Audit Committee deems the draft financial statements for the year ended 30 June 2021 as presented as **Attachment 16.1.1.1** and the accompanying financial review presented as **Attachment 16.1.1.2** to Report entitled Draft Annual Financial Statements 2020/21 suitable for consideration by the Chief Executive Officer for certification and inclusion in the 2020/2021 Annual Report.
4. THAT the Risk Management and Audit Committee resolves that the Committee's resolutions and **Attachment 16.1.1.1** and **Attachment 16.1.1.2** relating to Report entitled Draft Annual Financial Statements 2020/21 be moved to the open minutes of the 20 October 2021 meeting.

CARRIED RMA10/12 - 20/10/2021


8.2 Moving Open Items into Confidential

Nil

8.3 Confidential Items

Moved: Councillor Garden
Seconded: Mayor Pascoe-Bell

THAT pursuant to Section 99(2) and 293(1) of the *Local Government Act 2019* and section 51(1) of the *Local Government (General) Regulations 2021* the meeting be closed to the public to consider the following confidential items:

Initials: 

Item	Confidential Category	Confidential Clause
16.1.1	Council Performance, Service Delivery and Budget Review	This item is considered 'Confidential' pursuant to section 99(2) and 293(1) of the <i>Local Government Act 2019</i> and section 51(1)(e) of the <i>Local Government (General) Regulations 2021</i> , which states a council may close to the public only so much of its meeting as comprises the receipt or discussion of, or a motion or both relating to information provided to the council on condition that it be kept confidential and would, if publicly disclosed, be likely to be contrary to the public interest.

CARRIED RMA10/2 - 20/10/2021

9 OFFICER REPORTS

9.1 Action Reports

9.1.1 Risk Management and Audit Committee Schedule 2022

Moved: Deputy Mayor Henderson
Seconded: Steve Bartlett

1. THAT Report entitled Risk Management and Audit Committee Schedule 2022 be received and noted.
2. THAT the Risk Management and Audit Committee recommend to the Council:
 - (a) THAT the Risk Management and Audit Committee adopts the proposed 2022 Committee Meeting schedule being:
 - Tuesday 22 February 2022
 - Tuesday 24 May 2022
 - Tuesday 26 July 2022
 - Tuesday 25 October 2022


CARRIED RMA10/3- 20/10/2021

9.1.2 Strategic Risk Assessment

Moved: Steve Bartlett
Seconded: Mayor Pascoe-Bell

1. THAT Report entitled Strategic Risk Register Update October 2021 be received and noted.

CARRIED RMA10/4 - 20/10/2021

Initials: 

Moved: Deputy Mayor Henderson
Seconded: Councillor Garden

2. THAT the Risk Management and Audit Committee recommend to the Council:

- (a) THAT the completed control improvement actions are noted and be removed from the Control Improvement Plan presented as **Attachment 9.1.2.2** to Report entitled Strategic Risk Assessment including future reports reviewing completion dates and improved linkages to Council's strategic risk assessment.
- (b) THAT the updated Control Improvement Plan as presented as **Attachment 9.1.2.2** to Report entitled Strategic Risk Assessment be presented to Council for adoption and that Council's Strategic Risk Assessment as presented as **Attachment 9.1.2.1** to Report entitled Strategic Risk Assessment be updated with the adopted Control Improvement Plan including improvements identified by the Committee for future reporting including clarity around target risk levels, weaknesses identified and any future actions.

CARRIED RMA10/5- 20/10/2021

9.2 Receive and Note Reports

9.2.1 Action Report – October 2021

Moved: Steve Bartlett
Seconded: Councillor Garden

THAT Report entitled Action Report - October 2021 be received and noted.

CARRIED RMA10/6 – 20/10/2021

9.2.2 Annual Report 2020/21

Moved: Steve Bartlett
Seconded: Mayor Pascoe-Bell

THAT Report entitled Annual Report 2020/21 be received and noted.

CARRIED RMA10/7 – 20/10/2021

9.2.3 Internal Audit Plan 2021-2023

Moved: Councillor Garden
Seconded: Mayor Pascoe-Bell

- 1. THAT Report entitled Internal Audit Plan 2021-2023 be received and noted.
- 2. THAT the Risk Management and Audit Committee recommended to the Council:

Initials: 

- a) THAT a further report be prepared detailing the process for the management of risks when internal audits are rescheduled or replaced, and the reporting of progress against internal audit recommendations by the February 2022 Committee Meeting

CARRIED RMA10/8- 20/10/2021

10 INFORMATION AND CORRESPONDENCE

10.1 Information

Nil

10.2 Correspondence

Nil

11 GENERAL BUSINESS

Nil

12 NEXT COMMITTEE MEETING

Moved: Steve Bartlett
Seconded: Councillor Garden

THAT the next Risk Management and Audit Committee Meeting be held on Tuesday, 22 February 2022 at 5:00pm in the Council Chambers, Civic Plaza, 1 Chung Wah Terrace, Palmerston.

CARRIED RMA10/9- 20/10/2021

13 CLOSURE OF MEETING TO PUBLIC

Moved: Deputy Mayor Henderson
Seconded: Steve Bartlett

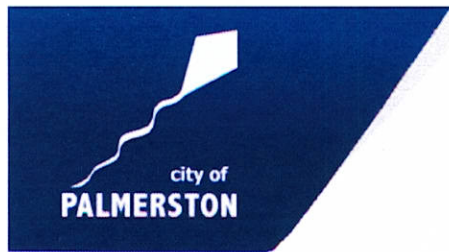
THAT pursuant to section 99(2) and 293(1) of the *Local Government Act 2019* and section 51(1)(a) of the *Local Government (General) Regulations 2021* the meeting be closed to the public to consider the Confidential items of the Agenda.

CARRIED RMA10/10- 20/10/2021

The open section of this meeting closed at 6:05pm for the discussion of confidential matters.


The Chair declared the meeting closed at 6:57pm.

Initials: 



A Place for People

COMMITTEE MINUTES


Chair

CHARE MILIKINS
Print Name

17 April 2022.
Date

Initials: 